



Financial advisors need smarter tools to help manage documentation compliance.

An increased emphasis on compliance in the Financial Services industry has advisors and firms looking to balance the growing need to record, document and note client interactions clearly and accurately, while managing heavy documentation demands and costs.

Financial services professionals face unique documentation demands:

89%

Say heavy documentation demands are limiting the amount of valuable “face time” with their clients.

57%

Find their financial CRM system cumbersome to use – too many clicks to navigate, and difficult to record notes.

37%

Spend more than 3 hours a day writing client financial plans, regulatory filings or other documentation.

48%

Say that after meeting or speaking with a client, they have to create at least 1 full page of notes documenting the full detail of their conversation.

A call for technology.

Advisors seek tools to help meet compliance mandates.



78%

Say they would be interested in new tools to complete documentation, drive compliance and increase productivity.

93%

Would be interested in exploring solutions like speech recognition to help create more detailed, accurate and compliant documentation.

66%

Say they currently use a combination of mobile and digital devices to complete client documentation and/or mandated disclosures.

81%

Say their firm is making greater use of standardized templates, forms and disclosures to help mitigate compliance risk.

There's a better way.

Speech recognition solutions can enable financial services professionals with a faster and easier way to create detailed and accurate documentation that better meets compliance regulations, all while improving productivity.

Drive documentation productivity and compliance – simply by speaking.



Create detailed client notes, plans, and reports 3x faster than typing, with up to 99% accuracy.



Increase efficiency and streamline documentation processes for better customer service.



Easily customize words for accurate recognition of client names, financial acronyms, investor products and other business-specific terms.



Reduce risk, improve compliance and capture more detailed documentation by voice.



Transcribe notes or accurately capture client interactions using your iOS or Android device, and get work done anywhere.



Reduce administrative time and costs and reduce reliance on outside services.

Join the hundreds of firms using **Dragon Speech Recognition** to help streamline financial documentation